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5.7 Sonata Form

Sonata is from the Italian *sonare*, to sound or to play.

Basic sonata form consists of an exposition, development, and recapitulation.

Do not confuse sonata form with the sonata genre, a 3 or 4 mvmt piece for 1 or 2 performers.

(Introduction)

- Introductions occur only once in their entirety, at the very beginning of the movement.
- Not all sonata forms include an introduction

Exposition

First theme group (or Primary Theme)

- Often (not always) assertive or dramatic in character
- Tonic key typical
- May end with a PAC or "dissolve" into transitional material

(Transition)

Second theme group (or Secondary Theme)

- Typically in V if the movement is in major, III if the movement is minor
- Often (not always) more lyrical than the first theme
- Sometimes early sonata forms (Haydn) simply transposed the first theme for the second theme (*Transition*)

Third theme group

- Sometimes it is appropriate to speak of a "third theme"
- Some authors prefer to use the terminology "secondary themes" for all themes after the first theme

Codetta

- Must come back in the recapitulation to be a true codetta
- Typically emphasizes the key of the last theme group (usually dominant or relative major)
- Lots of tonic-dominant chord progressions

Development

- Various motives from the introduction and exposition are explored in a variety of keys
- Modulatory and unstable
- Sequential, motivic, or harmonic structures often guide the development
- Developments are NOT random wanderings; rather, composers use them to "work through" conflicts present in the exposition
- Ends with a *retransition* anticipating the recapitulation's motivic material, accompanied by a dominant pedal point.

Recapitulation

Normally, the recapitulation restates the themes from the exposition transposed to the TONIC key.

(Coda)

- Occurs once at the end of the movement, if present. Not all sonata forms include a coda.
- *Terminal development*: Development in the coda (rare before Beethoven)
- To find the coda, compare the recapitulation and the exposition measure-by-measure until all material from the exposition has been stated. The remaining music is the true coda. (Remember also: "Once a codetta, always a codetta." The return of the codetta is part of the recap.)

The sonata form principle:

The tonal "conflict" created by the secondary themes in the exposition must be reconciled to the tonic key. The reconciliation is often achieved in the recapitulation after a tonal/modulatory/thematic "struggle" in the development.

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